



ARJ SECURITIES PVT. LTD.

STOCK BROKER – INZ000237937

48/49, 4TH FLOOR, BAJAJ BHAVAN, 226-BARRISTER RAJANI PATEL MARG, NARIMAN POINT,

Annexure B

Investor Complaints Data to be displayed by Stock Broker
Data for every month ending – September - 2026

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL		NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL		NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL		NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL		NIL
	Grand Total	NIL	NIL	NIL	NIL	NIL		NIL

Trend of Monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April – 2026	NIL	NIL	NIL	NIL
2	May – 2026	NIL	NIL	NIL	NIL
3	June – 2026	NIL	NIL	NIL	NIL
4	July – 2026	NIL	NIL	NIL	NIL
5	August – 2026	NIL	NIL	NIL	NIL
6	Sept. - 2026	NIL	NIL	NIL	NIL
7					
8					
9					
10					
11					
12					
	Grand Total	NIL	NIL	NIL	NIL

Trend of Annual disposal of complaints

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2018-19	NIL	NIL	NIL	NIL
2	2019-20	NIL	NIL	NIL	NIL
3	2020-21	NIL	NIL	NIL	NIL
4	2021-22	NIL	NIL	NIL	NIL
5	2022-23	NIL	NIL	NIL	NIL
6	2023-24	NIL	NIL	NIL	NIL
7	2024-25	NIL	NIL	NIL	NIL
8	2025-26	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL