



# ARJ SECURITIES PVT. LTD.

STOCK BROKER – INZ000237937

48/49, 4<sup>TH</sup> FLOOR, BAJAJ BHAVAN, 226-BARRISTER RAJANI PATEL MARG, NARIMAN POINT,

## Annexure B

### Investor Complaints Data to be displayed by Stock Broker

Data for every month ending – December- 2024

SR. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	NIL	NIL	NIL	NIL	NIL		NIL
2	SEBI (SCORES)	NIL	NIL	NIL	NIL	NIL		NIL
3	Stock Exchanges	NIL	NIL	NIL	NIL	NIL		NIL
4	Other Sources (if any)	NIL	NIL	NIL	NIL	NIL		NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL	NIL		NIL

### Trend of Monthly disposal of complaints

Sr. No.	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April – 2024	NIL	NIL	NIL	NIL
2	May - 2024	NIL	NIL	NIL	NIL
3	June - 2024	NIL	NIL	NIL	NIL
4	July - 2024	NIL	NIL	NIL	NIL
5	August - 2024	NIL	NIL	NIL	NIL
6	September - 2024	NIL	NIL	NIL	NIL
7	October - 2024	NIL	NIL	NIL	NIL
8	November - 2024	NIL	NIL	NIL	NIL
9	December - 2024	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL

### Trend of Annual disposal of complaints

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	NIL	NIL	NIL	NIL
2	2018-19	NIL	NIL	NIL	NIL
3	2019-20	NIL	NIL	NIL	NIL
4	2020-21	NIL	NIL	NIL	NIL
5	2021-22	NIL	NIL	NIL	NIL
6	2022-23	NIL	NIL	NIL	NIL
7	2023-24	NIL	NIL	NIL	NIL
	<b>Grand Total</b>	NIL	NIL	NIL	NIL